

Self Directed IRA - Client Application Form

Whitecap Investments

P.O. Box 5212

Laguna Beach CA. 92652-5212

Gary R. Smith, RE Broker / Owner

Date: _____

Phone: (949) 500-8555

Fax (949) 715-0627

E mail: grsmitre@cox.net

Complete all Self-Directed Trust Account Application:

1. Individual Investor Name(s): _____

2. Address: _____

3. Phone number: Day _____
Evening _____
Mobile: _____
4. Social Security or Tax ID#(s) _____, _____
5. Date / Place of Birth: _____
6. Type of Self Directed IRA, (IRA, Roth IRA, SEP IRA) _____

Trust Account Application Information:

1. Type Account (Unlimited , Diversified, Basic): _____
2. Beneficiary Info: (must have name, relationship, SS# (or Tax ID), address, and DOB)
Primary - _____
Secondary- _____
3. Create a Password for your online account: (Min. 4 letters) _____
4. Credit Card Billing information (for paying account fees)
Card Type: _____ Number _____
Expiration Date: _____
Name as appears on Card: _____
5. How do you plan on funding your account: (Transfer from IRA, Cash roll over from IRA, Direct roll over from 401K, or Contribution) _____
6. Authorize Whitecap Investments as a designee for your account (Yes / No) an authorized designee, in addition to your self can conduct transactions in your account.

Current IRA Financial Institution: (if more than one use back of form)

1. Name: _____
2. Contact Name: _____
3. Address: _____
4. Phone Number _____
5. Type Account you currently have: _____
6. Transfer cash or other IRA assets? (entire cash, portion cash, part or all in kind)
7. Amount to be transferred cash: \$ _____.
8. Amount to be Transferred of in kind: (Specify asset description, type, # shares, or estimated \$ amount) _____ N/A _____ \$ _____

The undersigned certify that the information provided in this Trust Account Application is true and correct as the date set forth opposite signature and acknowledgement:

Signature: _____

Date: _____

Signature: _____

Date: _____

(Fax or mail the completed client information form to Whitecap Investments and a check for \$250.00. After receipt of the administrative fee along with your application form a formal self directed IRA trust account application will be mailed to you for your submission to your selected institution)